

#6
4/5/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : BAY, S. ET AL.
Serial No. : 09/049,847
Filed : MARCH 27, 1998
For : MULTIPLE ANTIGEN GLYCOPEPTIDE CARBOHYDRATE,
VACCINE COMPRISING THE SAME AND USE THEREOF
Group Art Unit : 1618
Examiner : P. ACHUTAMURTHY



STATEMENT UNDER 37 C.F.R. §1.825(b) or §1.825(d)

Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that:

- ☒ [X] The paper Sequence Listing and computer readable Sequence Listing submitted herewith are identical (37 C.F.R. §1.821 (f))
- ☐ [] The substitute paper Sequence Listing and Substitute computer readable Sequence Listing submitted herewith are identical. No new matter is included. (37 C.F.R. §1.825 (b) or (d))

Respectfully submitted,
BIERMAN, MUSERLIAN AND LUCAS, L.L.P.

Dated: March 12, 1999

By Charles A. Muserlian
Charles A. Muserlian
Reg. No. 19,683

Of Counsel:
BIERMAN, MUSERLIAN AND LUCAS, L.L.P.
600 Third Avenue
New York, New York 10016
(212) 661-8000
(212) 661-8002 (FAX)

Application No.: 09/049847

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE

COPY FOR [] File [] Applicant